

TD3 : THE NULLSTELLENSATZ IN EXPLICIT CASES
PLAYING WITH PARAMETRIZATIONS

Exercise 1. (*Equation from a parametrization*) —

1. Let $\phi : \mathbb{R} \rightarrow \mathbb{R}^2$ be given by $t \mapsto (t^2, t^3)$. Prove that any $f \in \mathbb{R}[X, Y]$ vanishing on $\phi(\mathbb{R})$ is divisible by $Y^2 - X^3$. Which property of the field \mathbb{R} did you use?

Correction. \mathbb{R} is infinite to whenever $\forall t \in \mathbb{R}, Q(t^2, t^3) = 0$, you can just say that $Q(T^2, T^3) = 0$ as a polynomial. Perform an euclidean division of $Q(X, Y)$ by $Y^2 - X^3$ and manage to survive.

2. Do the same work with $t \mapsto (t^2 - 1, t^3 - t)$.

3. (bonus) Redo the previous questions using explicit computations.

Exercise 2. (*A theorem of Liouville*) — Let k be a field of zero characteristic and $n \geq 3$. Show that the equation $P^n + Q^n + R^n = 0$ has no solution for $P, Q, R \in k[X]$, unless if P, Q, R are all k -collinear. And for $n = 2$?

Correction. You will find it in Cassini books (Francinou-Gianella-Nicolas) or on the website [agreg-maths](http://agreg-maths.fr).

Exercise 3. (*Resultant and Bézout's theorem*) —

Resultant

Let A be a commutative ring. Let $n, m > 0$, P and Q such that $\deg(P) \leq n$ and $\deg(Q) \leq m$. Define

$$\phi_{P,Q}^{n,m} : \begin{array}{l} A_{m-1}[X] \times A_{n-1}[X] \longrightarrow A_{n+m-1}[X] \\ (U, V) \longmapsto UP + QV. \end{array}$$

Call $\text{Sylv}^{n,m}(P, Q)$ its matrix in the bases $((X^{m-1}, 0), \dots, (1, 0), (0, X^{n-1}), \dots, (0, 1))$ and $(X^{n+m-1}, \dots, 1)$.

Call $\text{Res}^{n,m}(P, Q)$ the determinant of this matrix.

The Sylvester matrix of (P, Q) is defined as $\text{Sylv}^{\deg(P), \deg(Q)}(P, Q)$ and the Resultant of (P, Q) is defined as $\text{Res}^{\deg(P), \deg(Q)}(P, Q)$.

1. Draw $\text{Sylv}(P, Q)$.

2. Let $f : A \rightarrow B$ a morphism of rings. Show that f commutes with $\text{Sylv}^{n,m}$ and $\text{Res}^{n,m}$. Formulate a result where f commutes with Sylv and Res .

3. Let A be integral. Suppose that P and Q have a non constant common divisor. Show that $\text{Res}(P, Q) = 0$. (what can you show if A is a general commutative ring?)

4. If $k = A$ is a field, show that $\dim \ker \text{Sylv}(P, Q) = \deg(P \wedge Q)$.

An application

5. Let x and y be algebraic on \mathbb{Q} (resp. integral on \mathbb{Z}) which minimal polynomials are known, say P and Q . Describe an algorithm which computes a polynomial in \mathbb{Q} (resp. in \mathbb{Z} and monic) vanishing at $x + y$. Do the same with xy . Do the same with x^{-1} for the algebraic case. *Idea : use previous facts with the ring $A = \mathbb{Q}[Y]$. Evaluating a polynomial is the same as applying a morphism of rings. Use some nice resultant of two elements of $A[X]$, and notice that such a resultant is a polynomial in Y .*

Towards Bézout's theorem

Let $P, Q \in k[X, Y]$. Let $E \subset k^2$ be the set of common solutions of P and Q . We want to bound $\#E$. Write $n = \deg(P)$ and $m = \deg(Q)$.

6. Show that there exists some $R(X) \in k[X]$ such that $\forall (x, y) \in k^2, R(x) = 0$.
(*). Show that its degree is $\leq mn$.

Correction. This is known as "weak Bézout", you can find a proof named "Bézout faible" in the website [agreg-maths](http://agreg-maths.com).

7. Deduce that $\#E \leq (mn)^2$. Can you refine how you use the previous question to show that $\#E \leq mn$?

The strong version of Bézout's theorem says that the number of common roots in $\mathbb{P}^2(k)$, where k is algebraically closed, is exactly nm (counting multiplicities).

Exercise 4. (*Jacobson rings, fixed version*) — For the sequel, recall : (Krull's Theorem) Denote $\text{Spec}A$ the set of prime ideals of A . Then

$$\bigcap_{\mathfrak{p} \in \text{Spec}A} \mathfrak{p} = \sqrt{(0)}.$$

Let A be a commutative ring and $I \subset A$ an ideal. The *Jacobson radical* $J(I)$ of I is defined as the intersection of all the maximal ideals of A containing I .

First manipulations.

1. Show that $J((0)) = \{x \in A \mid \forall y \in A, 1 - xy \in A^\times\}$.

Correction. Prove the following : $\forall x \in A, x \notin A^\times$ iff $\exists \mathfrak{m}$ maximal in A such that $x \in \mathfrak{m}$. \square

A is called a *Jacobson ring* if for all ideal I of A , $J(I) = \sqrt{I}$.

2. Show that the following rings are Jacobson : \mathbb{Z} , fields, and the rings $k[T]$ where k is a field.

Correction. They are factorial so non zero ideals can be characterized simply. Beware : you have to treat the zero ideal separately, one way of concluding is by showing that whenever a ring is factorial, it contains an infinite number of prime elements. Just copy Euclid's proof for infinity of prime numbers. \square

3. Show that the quotient of a Jacobson ring is again Jacobson.

Correction. The ideals of A/I are the J/I for J ideal of A containing I . \square

4. Show that a ring is Jacobson if and only if for all prime ideal \mathfrak{p} , $\mathfrak{p} = J(\mathfrak{p})$.

Correction. This is absolutely non trivial unless... you use Krull's theorem we saw in TD1! \square

5. Show that $A[T]$ being Jacobson implies A being Jacobson.

Correction. This question is a joke. Either you don't see it... either you see that A is just the quotient of $A[T]$ by (T) . \square

Polynomial rings over Jacobson rings.

6. (prelude : about prime ideals in $A[T]$) Let A be an integral domain, \mathfrak{p} a non zero prime ideal of $A[T]$.

a. Let $f, g \in A[T]$, f of non-zero leading coefficient a . Show that there exists $n \in \mathbb{N}$, $q, r \in A[T]$ with $r = 0$ or $\deg(r) < \deg(f)$, such that $a^n g = qf + r$.

Correction. You should try to show it directly by copying the proof of the existence of euclidean division (this will give an algorithm to compute this question). I'll write here a quicker proof. Let $B = A[a^{-1}]$ (A being integral, this is just a subring of the fraction field), the rings whose elements are or the form $\frac{u}{a^n}$ for $u \in A, n \in \mathbb{N}$. Then the leading coefficient of f is invertible in B . Hence $\frac{1}{a}f$ is monic so it's easy to see that euclidean division exists (this is a basic theorem you should know : the proof goes by trying to kill all monomials of g using multiples of f , starting by the leading one). Now you have something like $g = qf/a + r$, $q, r \in B[X]$, $\deg(r) < \deg(f)$. Multiply by a good power of a^n to force q, r to be in $A[X]$. \square

b. Let $f \in \mathfrak{p} - \{0\}$ of minimal degree and a its leading coefficient. Show that $\forall h \in \mathfrak{p}, \exists n \in \mathbb{N}, a^n h \in fA[T]$.

Correction. Let $h \in \mathfrak{p}$. Write $a^n h = qf + r$ as before, with $\deg(r) < \deg(f)$. Notice that $r \in \mathfrak{p}$. Thus $r = 0$ by minimality of degrees. This concludes. \square

c. If $g \in A[T] - \mathfrak{p}$, prove that $(\mathfrak{p} + (g)) \cap A \neq 0$.

Correction. Let k the fraction field of A . Let's show that g and f are coprime in $k[T]$ because it looks like a Bézout's relation. The key point is that f is irreducible in $k[T]$. Let $f = UV$ a decomposition in $k[T]$. Multiplying by the denominators, you get $\alpha, \beta \in A - \{0\}$ such that $\alpha U, \beta V \in A[T]$. Thus $\alpha U \beta V = \alpha \beta f \in \mathfrak{p}$. \mathfrak{p} is prime so say that $\alpha U \in \mathfrak{p}$. But the degree of f is minimal in $\mathfrak{p} - \{0\}$ so $\deg U = \deg f$. Hence f is irreducible.

Now, let's show that f does not divide g in $k[T]$: suppose it was the case with $fh = g, h \in k[T]$. Again, you can write $fah = ag$ with $\alpha \in A - \{0\}$ and $ah \in A[T]$. Hence $\alpha g \in \mathfrak{p}$ so $\alpha \in \mathfrak{p}$. This is possible but then we would be done with this question.

Now we can assume that f does not divide g in $k[T]$. f is irreducible hence f and g are coprime hence we can find $U, V \in k[T]$ such that $fU + gV = 1$. Again, multiply by the denominators to force $U, V \in A[T]$, and now $fU + gV \in A - \{0\}$. \square

7. Let A an integral Jacobson ring. Let's show that $A[T]$ is Jacobson also.

a. Let \mathfrak{p} a non zero prime ideal in $A[T]$ that intersects A trivially. Let $g \in J(\mathfrak{p})$, suppose it's not in \mathfrak{p} . Show that there exists $b \in (\mathfrak{p} + (g)) \cap A - \{0\}$.

Correction. Yes, we just proved it. It's just that \mathfrak{p} is prime and non zero so we deduced that $(\mathfrak{p} + (g)) \cap A - \{0\}$ is not empty. \square

b. Use again the notations f and a from the previous question. Show that there is some maximal ideal \mathfrak{m}_0 of A not containing b such that $a \notin \mathfrak{m}_0 A[T] + \mathfrak{p}$.

Correction. We certainly want to find \mathfrak{m}_0 not containing a nor b . As A is a domain, $ab \notin \sqrt{(0)}$. As A is Jacobson, $ab \notin \bigcap_{\mathfrak{m}} \mathfrak{m}$ hence there exists \mathfrak{m}_0 not containing ab . Hence $a \notin \mathfrak{m}_0$ and $b \notin \mathfrak{m}_0$.

Suppose that $a \in \mathfrak{m}_0 A[T] + \mathfrak{p}$. The elements of $\mathfrak{m}_0 A[T]$ are of the form $\sum_i m_i g_i$ for $m_i \in \mathfrak{m}_0, g_i \in A[T]$. Hence there is a relation of the form $a = \sum_i m_i g_i + p, p \in \mathfrak{p}$. The

trick here is to work inside $A/\mathfrak{m}_0[T]$ because it's a nice-looking ring (polynomials over a field) and we don't know anything about the g_i . In $A/\mathfrak{m}_0[T]$, one has $\bar{a} = \bar{p}$. Hence the polynomial $\bar{p} \in A/\mathfrak{m}_0[T]$ is constant non zero. But we know that there is some n such that $a^n p = fh$, for some $h \in A[T]$. Hence \overline{fh} is constant and non zero. So, looking at the degrees, \bar{f} and \bar{h} should also be constant. f is not constant, hence its leading coefficient, a , lies in \mathfrak{m}_0 . Impossible. Hence the result. \square

c. Show that there exists some maximal ideal \mathfrak{m} of $A[T]$ containing $\mathfrak{m}_0 A[T] + \mathfrak{p}$.

Show that there is a contradiction.

Correction. $\mathfrak{m}_0 A[T] + \mathfrak{p}$ is proper so by Zorn's lemma, there is \mathfrak{n} maximal in $A[T]$ containing it. Notice that $\mathfrak{m}_0 \cap \mathfrak{n} \cap A$. $\mathfrak{n} \cap A$ is proper because it does not contain 1. Hence, by maximality, $\mathfrak{m}_0 = \mathfrak{n} \cap A$. Also, $g \in J(\mathfrak{p})$ and \mathfrak{n} contains \mathfrak{p} . Hence, $g \in \mathfrak{n}$.

Now, we have $b \in (\mathfrak{p} + (g)) \cap A \subset \mathfrak{n} \cap A = \mathfrak{m}_0$, which is impossible.

Remark. Why does it work? We want to show that $g \in \mathfrak{p}$. If it's not the case, we notice that some Bézout relation implies the existence of this b . Now, to make good use of $g \in J(\mathfrak{p})$, one has to build maximal ideals \mathfrak{n} of $A[T]$ containing \mathfrak{p} , but we want to have some insight of what we can find in \mathfrak{n} . A magic trick that may be hard for intuition may be the moment when we notice that $\mathfrak{n} \cap A = \mathfrak{m}_0$: this allows us to know that $b \notin \mathfrak{n}$. \square

d. Deduce that for all prime ideals \mathfrak{p} in $A[T]$ such that $A \cap \mathfrak{p} = 0$, we have $\mathfrak{p} = J(\mathfrak{p})$.

Correction. The joke is that we are just left to prove the case when $\mathfrak{p} = 0$. The joke is that we will use the previous cases. Let $g \in J(0)$, show that $g = 0$.

Let k be the fraction field of A . Let p be a prime element of $k[T]$. Then (p) is a maximal ideal of $k[T]$ hence $\mathfrak{p} := A[T] \cap (p)$ is prime (not always maximal, beware). \mathfrak{p} is non zero because you can multiply p by elements of A to get an element of $A[T]$. Now, (p) is a proper ideal of $k[T]$ hence does not contain any constant. Then $\mathfrak{p} \cap A \subset (p) \cap A = 0$. Hence $\mathfrak{p} = J(\mathfrak{p})$.

Finally, we have $g \in J(0) \subset J(\mathfrak{p}) = \mathfrak{p}$. This means that $g \in (p)$. Hence g is divisible by all primes of $k[T]$. All the maximal ideals of $k[T]$ are of the form (p) hence $g \in \bigcap_{\mathfrak{m} \subset k[T]} \mathfrak{m} = 0$ because $k[T]$ is a Jacobson domain as we saw.

8. Let A be a general Jacobson ring, prove that $A[T]$ is Jacobson.

Correction. Let \mathfrak{p} be prime in $A[T]$. Let $B = A/(\mathfrak{p} \cap A)$. B is a domain and \mathfrak{p} descends to a prime ideal $\bar{\mathfrak{p}}$ in $B[T]$ that intersects B trivially. Then $\bar{\mathfrak{p}} = J(\bar{\mathfrak{p}})$. It's easy to deduce that $\mathfrak{p} = J(\mathfrak{p})$. Hence $A[T]$ is Jacobson. \square

Generalized Nullstellensatz.

9. Let A be an integral domain. Let \mathfrak{m} be maximal in $A[T]$. Suppose that $A \cap \mathfrak{m} = 0$. Let again the notations $f \in \mathfrak{m}$ and a from the previous questions.

a. Let \mathfrak{m}_0 be any non zero maximal ideal in A . Let $b \in \mathfrak{m}_0 - \{0\}$. Explain why there exist $g \in A[T]$, $h \in \mathfrak{m}$ such that $1 = h + gb$.

Correction. $b \in \mathfrak{m}_0 \cap A$ so, as $A \cap \mathfrak{m} = 0$, we have $b \notin \mathfrak{m}$. Hence $\mathfrak{m} \subsetneq (\mathfrak{m}, b)$. By maximality of \mathfrak{m} amongst proper ideals, $(\mathfrak{m}, b) = A[T]$. This set contains 1 as a consequence. \square

b. Apply Euclidean division of some $a^n g$ by f , denote the remainder r . Show that $a^n - br \in \mathfrak{m}$. Deduce that $a \in \mathfrak{m}_0$.

Correction. Write $a^n g = qf + r$. Thus $a^n bg = qfb + rb$. Hence $a^n - ha^n = qfb + rb$. Hence $a^n - rb = qfb + ha^n \in \mathfrak{m}$. The degree of $a^n - rb$ is the one of r but it's strictly

smaller than $\deg(f)$, so by minimality, $a^n - rb = 0$. Hence $a^n \in \mathfrak{m}_0$ hence $a \in \mathfrak{m}_0$. \square

10. Let A be an integral domain which is Jacobson. Show that A is a field if and only if there exists a maximal ideal \mathfrak{m} of $A[T]$ such that $\mathfrak{m} \cap A = 0$.

Correction. If A is a field, then (T) is a maximal ideal of $A[T]$ because $A[T]/(T) = A$ is a field and $(T) \cap A = 0$. Now, suppose that A is not a field. Let \mathfrak{m} be a maximal ideal of $A[T]$ such that $\mathfrak{m} \cap A = 0$, and bring back notations f, a . Then it should have a maximal ideal \mathfrak{m}_0 . A is not a field so \mathfrak{m}_0 is not 0. The previous question yields $a \in \mathfrak{m}_0$. Hence $a \in \bigcap_{\mathfrak{m}_0 \subset A} \mathfrak{m}_0 = 0$ because A is a Jacobson domain. This is impossible. \square

11. Let A be a commutative ring. Show that the following are equivalent :

- (1). A is Jacobson
- (2). For all maximal ideal \mathfrak{m} of $A[T]$, $\mathfrak{m} \cap A$ is a maximal ideal in A .

Correction. (2) implies (1) : let \mathfrak{p} be prime in A . Let $x \in J(\mathfrak{p}) - \mathfrak{p}$. Build a maximal ideal of $A[T]$ to deduce an interesting maximal ideal of A . Do it this way : $A[T]/(\mathfrak{p}, (1 - Tx)) = A/\mathfrak{p}[T]/(1 - Tx) = A/\mathfrak{p}[x^{-1}]$. (won't be corrected today).

(1) implies (2) : Suppose A Jacobson and let \mathfrak{m} a maximal ideal of $A[T]$. Then $\mathfrak{p} := \mathfrak{m} \cap A$ is prime then $B := A/\mathfrak{p}$ is a Jacobson domain. $\bar{\mathfrak{m}}$ is a maximal ideal of $B[T]$. Furthermore, if $x \in \bar{\mathfrak{m}} \cap B$, then x is a constant polynomial in $B[T]$ so can be lifted to a constant in $A[T]$ that lies in \mathfrak{m} . But $\mathfrak{m} \cap A = \mathfrak{p}$ so $x = 0$. Then $\bar{\mathfrak{m}} \cap B = 0$. The previous question gets us that B is a field so \mathfrak{p} is maximal in A .

12. (Generalized Nullstellensatz) Let A a Jacobson ring and B a commutative A -algebra of finite type.

- a. Show that B is a Jacobson ring.
- b. If \mathfrak{m} is a maximal ideal of B , show that $A \cap \mathfrak{m}$ is maximal.
- c. Show furthermore that

$$A/A \cap \mathfrak{m} \rightarrow B/\mathfrak{m}$$

is a finite extension of fields (a theorem from a previous sheet may be used).

Correction. Let's do everything together. Let b_1, \dots, b_n be generators of the A -algebra B . Then we have a morphism $\phi : A[X_1, \dots, X_n] \hookrightarrow B$. Denote its kernel I . Then $B \simeq A[X_1, \dots, X_n]/I$. By previous questions and recursion, $A[X_1, \dots, X_n]$ is Jacobson, and B is a quotient of it so B is Jacobson.

If \mathfrak{m} is maximal in B , then it rises up to a maximal ideal \mathfrak{n} in $A[X_1, \dots, X_n]$. Then, by the previous question, $\mathfrak{n} \cap A[X_1, \dots, X_{n-1}]$ is maximal, thus $\mathfrak{n} \cap A[X_1, \dots, X_{n-2}]$ also, all the way down to $\mathfrak{n} \cap A$. This implies $\phi(\mathfrak{n} \cap A)$ to be maximal in $\phi(A)$, but this is precisely $\phi(A) \cap \mathfrak{m}$, that we identify with $A \cap \mathfrak{m}$.

The last fact is an easy consequence of the not easy exercise 2 in TD1.

13. How is this theorem related to the Hilbertscher Nullstellensatz ?

Correction. We just did prove this theorem by not using anything related to the nullstellensatz. Let's use it to give a new proof of the nullstellensatz. As we saw in TD1, the nullstellensatz is a direct computational consequence of the weak form of it. Suppose k algebraically closed. Then $A = k[X_1, \dots, X_n]$ is Jacobson by the previous question. The nullstellensatz is trivial for $n = 1$, let's assume it's true for $n - 1$, and show it for n . Let \mathfrak{m} be a maximal ideal of A . Then $\mathfrak{m} \cap k[X_1, \dots, X_{n-1}]$, by our

previous question, is maximal, so it should be of the form $\mathfrak{m}_1 = (X_1 - a_1, \dots, X_{n-1} - a_{n-1})$.

\mathfrak{m} is maximal and \mathfrak{m}_1 is not so we have $\mathfrak{m}_1 \subset \mathfrak{m}$. So the natural morphism

$$k[X_n] \simeq k[X_1, \dots, X_n]/(X_1 - a_1, \dots, X_{n-1} - a_{n-1}) = A/\mathfrak{m}_1 \twoheadrightarrow A/\mathfrak{m}$$

has a non trivial prime kernel (because the destination ring is a domain). k being algebraically closed, this kernel is of the form $(X_n - a_n)$, and we are done proving that $\mathfrak{m} = (X_1 - a_1, \dots, X_n - a_n)$.

Note : the nullstellensatz implies easily the fact that $k[X_1, \dots, X_n]$ is Jacobson. This motivates the Jacobson theory, and why we got lead to this generalization.