



TD12 : ESSENTIALLY, WHAT IS A SCHEME



Exercises with a  are algebraic geometry exercises which will be corrected during the exercise session, if time allows it. Exercises with a  are important exercises of commutative algebra.

Sheaves (faisceaux) are objects that allow to formalize the link between local properties and global properties of a topological space. They allow to give a geometry to a space. So let's give to  $\text{Spec}(A)$  a sheaf today.

When we say a sheaf, it is a sheaf of sets or groups or rings.



**Exercise 1.** (*Stalks and germs*) —

Let  $X$  be a topological space,  $\tau(X)$  the set of its open subsets. Let  $\mathcal{F}$  be a presheaf on  $X$ . Let  $p \in X$ . Define the *stalks* of  $\mathcal{F}$  at  $p$  to be the quotient of the set  $\{(f, U) \mid p \in U \in \tau(X), f \in \mathcal{F}(U)\}$  by the following equivalence relation :  $(f, U) \sim (g, V)$  iff there is some  $p \in W \subset U \cap V$ , open, such that  $f|_W = g|_W$ .

1. Show that the said equivalence relation used here is indeed an equivalence relation.

2. (properties of the stalk) Show that  $\mathcal{F}_p$  is the colimit of the collection of the  $\mathcal{F}(U)$ , for each open set  $U$  containing  $p$ . It means the following :

- for every  $U$  containing  $p$ , we have a morphism  $\mathcal{F}(U) \rightarrow \mathcal{F}_p$ ,
- for every  $V \subset U$ , the restriction  $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$  commutes with those morphisms  $\mathcal{F}(U) \rightarrow \mathcal{F}_p$  and  $\mathcal{F}(V) \rightarrow \mathcal{F}_p$ ,
- (don't do it today) for every other set/ring/group  $G$  with such morphisms, those morphisms pass through  $\mathcal{F}_p$ . It means that  $\mathcal{F}_p$  is the "closest possible object to the diagram  $(\mathcal{F}(U))_{U \ni p}$ ".

3. (stalks determine sections) Let  $U$  be open in  $X$ . Show that the natural map  $\mathcal{F}(U) \rightarrow \prod_{p \in U} \mathcal{F}_p$  is injective (its image is sometimes called the set of families of compatible germs. They can be defined without knowing that  $\mathcal{F}$  is a sheaf, hence are used for sheafification. We will later use a similar process).

4. (morphism induced to stalks) Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves, where  $\mathcal{F}, \mathcal{G}$  are sheaves on  $X$ . Show that for all  $p \in X$ , there is a morphism  $\phi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$  such that  $\forall f_p \in \mathcal{F}_p$  ( $f$  is an element of some  $\mathcal{F}(U)$  where  $U$  is an open set containing  $p$ ), one has

$$\phi_p(f_p) = (\phi(f))_p.$$

Write a commutative diagram to express that relation.

5. Let's show that the stalks keep the information of isomorphisms.

a. Let  $\mathcal{F}$  and  $\mathcal{G}$  be two presheaves on  $X$ . Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of

presheaves, such that for all open set  $U$  of  $X$ ,  $f_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is an isomorphism. Show that  $\phi$  yields an isomorphism  $\phi : \mathcal{F} \simeq \mathcal{G}$ .

**b.** Let  $\phi : \mathcal{F} \simeq \mathcal{G}$  be a morphism of sheaves. Suppose that for all  $p \in X$ ,  $\phi$  induces an isomorphism  $\mathcal{F}_p \rightarrow \mathcal{G}_p$ . Show that  $\phi$  is an isomorphism.

Remark for professionnels : it is still (very easily) true if you replace "isomorphism" by "injective map", but not if you replace by "surjective maps" : you will only get an epimorphism of sheaves, and this is not the same thing as being surjective "for every  $U$ ".



**Exercise 2.** (*Sheaves and basis of the topology*) —

Let  $X$  be a topological space, and let  $\mathcal{B}$  be a *basis* of the topology of  $X$ . This means that  $\mathcal{B}$  is a subset of the set of open sets of  $X$  and that any open subset of  $X$  is the union of elements of  $\mathcal{B}$ . The elements of  $\mathcal{B}$  are called *basic* open sets.

**1.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be two sheaves on  $X$ . Assume for each  $U \in \mathcal{B}$ , there exists an isomorphism  $f_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  such that for every inclusion  $V \subset U$  of elements of  $\mathcal{B}$ , the following diagram is commutative :

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \mathcal{F}(V) & \longrightarrow & \mathcal{G}(V). \end{array}$$

Show that  $\mathcal{F} \simeq \mathcal{G}$ .

Hence the data of a sheaf can be compressed by just keeping the data on a basis.

We define a *presheaf*  $\mathcal{F}$  on the basis  $\mathcal{B}$  to be a contravariant functor from the partially ordered set  $\mathcal{B}$  to the category of sets/rings/groups.

We define a *sheaf*  $\mathcal{F}$  on the basis  $\mathcal{B}$  to be a presheaf on the basis  $\mathcal{B}$  such that :

- (base identity axiom) If  $B = \cup B_i$ , with  $B, B_i \in \mathcal{B}$ , then two elements  $f, g \in \mathcal{F}(B)$  that agree on each  $B_i$  are equal.
- (base gluability axiom) Let  $B = \cup B_i$ , with  $B, B_i \in \mathcal{B}$ , and  $f_i \in \mathcal{F}(B_i)$ . Suppose that for all  $i, j$ ,  $f_i$  and  $f_j$  agree on any basic open set contained in  $B_i \cap B_j$ . Then there exists  $f \in \mathcal{F}(B)$  such that  $\forall i, f|_{B_i} = f_i$ .

**2.** Show that if  $\mathcal{F}$  is a sheaf on  $X$ , then it induces a sheaf on the base  $\mathcal{B}$ .

**3.** If  $\mathcal{F}$  is a presheaf on  $X$  that is a sheaf on the base  $\mathcal{B}$ , can we say that  $\mathcal{F}$  is a sheaf?

**4.** Let  $\mathcal{F}$  be a sheaf on the base  $\mathcal{B}$ . Define the *stalk on a base*  $\mathcal{F}_p$  to be the same thing as a stalk, but only using the base, so it is the set of *germs on a base*  $g = (f, B)$  for  $p \in B$  and  $f \in \mathcal{F}(B)$ , quotiented by the analog equivalence relation ( $g$  denotes germs). If  $B \in \mathcal{B}$ , show that there is a natural injective map  $\mathcal{F}(B) \rightarrow \prod_{p \in B} \mathcal{F}_p$ .

**5.** Let  $(\mathcal{F}(B))_{B \in \mathcal{B}}$  be a sheaf on  $\mathcal{B}$ . Show that there exists a sheaf  $\mathcal{F}$  on  $X$  such that that extend the sheaf we started with. Is it unique up to isomorphism?

**Definition.**

A *ringed space*  $(X, \mathcal{O}_X)$  is the data of  $X$ , a topological space, and of  $\mathcal{O}_X$ , a sheaf of commutative rings on  $X$ . It is said to be a *locally ringed space* if the stalks are local rings.



**Exercise 3.** (*Affine algebraic varieties are schemes*) — Let  $k$  be an algebraically closed field. Let  $X$  be an affine algebraic variety over  $k$ . The goal of this exercise is to show that  $X$  is naturally a locally ringed space.

1. Let  $f \in k[X]$ , define  $\mathcal{O}_X(D(f)) = k[X]_f$ , the localization of  $k[X]$  at the multiplicative subset  $\{1, f, f^2, \dots\}$ . Show that  $\mathcal{O}_X(D(f))$  is well-defined.

2. Show that inclusions  $D(f) \subset D(g)$  induce a morphism of rings  $\mathcal{O}_X(D(g)) \rightarrow \mathcal{O}_X(D(f))$ .

3. What is  $\mathcal{O}_X(D(f) \cap D(g))$ ?

4. Show that this defines a sheaf on the basis of the topology given by standard affine subsets  $(D(f))_f$  and hence gives a sheaf  $\mathcal{O}_X$  on  $X$ .

5. If  $X \rightarrow Y$  is a morphism, what do we get on sheaves?

6. Let  $A$  be any commutative ring. Use the same construction to show that  $\text{Spec}(A)$  is naturally a locally ringed space.  $\mathcal{O}_{\text{Spec}(A)}$  is called the structural sheaf on  $A$ . If  $A \rightarrow B$  is a ring morphism, what do we get on spectra and on sheaves?

This bonus exercise is an example of study of module over a sheaf of rings. Modules over sheaves of rings are important techniques used to study the sheaves of rings themselves.

**Definition.**

Let  $\mathcal{F}$  be a sheaf of abelian groups on the space  $X$ .  $\mathcal{F}$  is said to be a sheaf of  $\mathcal{O}_X$ -modules if for every open subset  $U$  of  $X$ ,  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module, and for every inclusion of open subsets  $V \subset U$ , the restriction morphism  $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$  is compatible with the morphism of rings  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$ . A morphism of sheaves of modules on  $X$  is a morphism of Abelian sheaves which is a morphism a  $\mathcal{O}_X(U)$ -modules over each open subset  $U \subset X$ .

**Exercise 4.** (*Sheaves of modules on an affine variety*) —

Let  $k$  be algebraically closed. Let  $X$  be an affine algebraic variety. Let  $M$  be a  $k[X]$ -module. Let  $U$  be an open subset of  $X$ .

1. Recall why  $(D(f))_{f \in k[X]}$  is a basis of the topology on  $X$ .

For each  $f \in k[X]$ , we define  $\widetilde{M}(D(f)) = M_f$ , where  $M_f$  is the localization of  $M$  at the multiplicative subset  $\{1, f, f^2, \dots\}$ .

2. Show that  $\widetilde{M}$  is well defined. Show that inclusions  $D(f) \subset D(g)$  induce a morphism of groups  $\widetilde{M}(D(g)) \rightarrow \widetilde{M}(D(f))$  compatible with  $k[X]_{(g)} \rightarrow k[X]_{(f)}$ .

3. Show that this defines a sheaf on the basis of the topology given by standard affine subset  $(D(f))_f$  and hence gives a sheaf  $\widetilde{M}$  on  $X$ .

4. Show that  $\widetilde{M}$  is a sheaf of  $\mathcal{O}_X$ -modules.

5. Show that there is a bijection between morphisms of  $\mathcal{O}_X$ -modules  $\widetilde{M} \rightarrow \widetilde{N}$  and morphisms of  $k[X]$ -modules  $M \rightarrow N$ .